

JRW

PATENT  
Attorney Docket No.: COOL-01400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James Lovette et al.

Serial No.: 10/612,241

Filed: July 1, 2003

For: **MULTI-LEVEL MICROCHANNEL  
HEAT EXCHANGERS**



) Group Art Unit: 3753

) Examiner: Tho V. Duong

**TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

) Customer No. 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 7-28-06

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 7-28-06 By: J. D. Larson



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For: **MULTI-LEVEL MICROCHANNEL** ) **CERTIFICATION FOR**  
**HEAT EXCHANGERS** ) **SUPPLEMENTAL INFORMATION**  
                          ) **DISCLOSURE STATEMENT UNDER 37**  
                          ) **CFR § 1.97(e)**  
                          ) 162 N. Wolfe Road  
                          ) Sunnyvale, CA 94086  
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Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on May 15, 2006. Accordingly, I hereby certify that no references contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

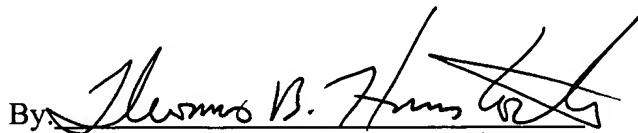
**PATENT**  
Attorney Docket No.: COOL-01400

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 7-28-06

By   
Thomas B. Haverstock  
Reg. No.: 32,571

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Date: 7-28-06 By: J. L. B.



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MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,494,171;
- U.S. Patent No. 5,145,001;
- U.S. Patent No. 6,131,650;
- U.S. Patent No. 6,196,307 B1;
- U.S. Patent No. 6,508,301 B2;
- U.S. Publication No. 2004/112571 A1; and
- U.S. Publication No. 2005/0169849 A1.

**PATENT**  
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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

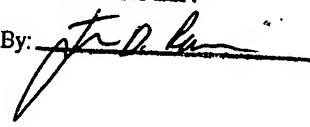
Dated: 7-28-06

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Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.10(g))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 7-28-06 By: 

FORM PTO-1449 (Modified)		JUL 31 2006 U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-01400	Serial No.: 10/612,241	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Separate Sheet if Necessary) (37 CFR § 1.98(b))		Applicants: James Lovette et al.				
		Filing Date: July 1, 2003		Group Art Unit: 3753		

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	4,494,171	01/15/85	Bland et al.	361	386	08/24/82
	AB	5,145,001	09/08/92	Valenzuela	165	164	04/23/91
	AC	6,131,650	10/17/00	North et al.	165	170	07/20/99
	AD	6,196,307 B1	03/06/01	Ozmat	165	185	06/17/98
	AE	6,508,301 B2	01/21/03	Marsala	165	80.4	04/17/01
	AF	US 2004/0112571 A1	06/17/04	Kenny et al.	165	80.3	10/30/03
	AG	US 2005/0168949 A1	08/04/05	Tilton et al.	361	699	01/30/04
	AH						
	AI						
	AJ						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	
AQ	
AR	
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AW	
AX	
AY	
AZ	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.